

**ADV PART 2B**

# Abacus Wealth Partners, LLC

## Form ADV Part 2B Investment Adviser Brochure Supplement

### Supervisors

Neela Hummel, Co-CEO

Mary Elizabeth Storjohann, Co-CEO

Barrett Porter, Chief of Advisors

Jessica Lu-Buetow, *Chief Compliance Officer*

### Investment Advisor Representatives

Kelly Acheson  
Rasheed Ahmed  
Kathleen Barron-  
Alicante  
Emily Benedetto  
Elizabeth Boehne  
Lauren Boyes  
Gabriel Brenner

Rose Brink-Capriola  
Brian Canning  
Tiesha Cullins  
Jana Davis  
Hannah Entenman  
Elise Faur  
Christopher Habib  
Neela Hummel

Brenton Kessel  
Lara Lamb  
Jessica Lu-Buetow  
Kasey McManis  
Susan Olson  
Kathryn Peyton  
Jason Pollens  
Barrett Porter

Lindsey Rafkind  
Matthew Rivera  
Spencer Sherman  
Daniel Smith  
Mary Elizabeth (Mary  
Beth) Storjohann  
Kate Sumner  
Daria Victorov

Christopher Vallejo  
Elizabeth (Ariel) Ward  
Kyle Williams  
Barbara Wolf  
Jacqueline Worstell  
Walter Yu

*Lindsey Rafkind, Co-Chief Investment Officer*

*Matthew Rivera, Co-Chief Investment Officer*

*Walter Yu, Director of Portfolio Management*

## October 2024

This brochure supplement provides information about the Firm's Supervised Persons that supplements Abacus Wealth Partners' brochure. Please contact Jessica Lu-Buetow, Chief Compliance Officer, at [jessica@abacuswealth.com](mailto:jessica@abacuswealth.com) if you did not receive Abacus Wealth Partners' brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Supervised Persons is also available on the SEC's website at: <http://advisorinfo.sec.gov>

---

**Kelly Jayne Acheson**

Personal CRD #: 6076207 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

Employment Dates	Employer Name	Employer Location
11/2006-Present	Abacus Wealth Partners	Sebastopol, CA

**Education:**

No Formal Education after High School

**Year of Birth:** 1969**Professional Designations:**

Financial Paraplanner Qualified Professional (FPQP™)

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2013

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Kelly Jayne Acheson has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Kelly Jayne Acheson does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Kelly Jayne Acheson is supervised by Jessica Lu-Buetow, the firm's Chief Compliance Officer. Jessica Lu-Buetow is responsible for ensuring that Kelly Jayne Acheson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as policies and procedures

outlined in the firm's Code of Ethic and compliance manual. Jessica Lu-Buetow's phone number is (310) 566-1888.

---

## Rasheed Ahmed

Personal CRD #: 7188426 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
10/2019-Present	Abacus Wealth Partners	Santa Monica, CA
11/2016 – 06/2019	Warner Pacific Insurance Services	Westlake Village, CA
09/2013 – 06/2016	UCLA (student)	Los Angeles, CA

#### Education:

University of California – Los Angeles, BS, 2016

**Year of Birth:** 1993

#### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2020  
Accident & Health, Life-Only (CA), 2019

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Rasheed Ahmed is also a licensed life, accident, and health and sickness insurance professional. Implementations of insurance recommendations are separate and apart from Rasheed Ahmed’s role with Abacus Wealth Partners. As an insurance professional, Rasheed Ahmed will receive customary commissions and other related revenues from the various insurance companies

whose products are sold. Rasheed Ahmed is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Rasheed Ahmed.

#### **Item 5: Additional Compensation**

Rasheed Ahmed does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

#### **Item 6: Supervision**

As a representative of Abacus Wealth Partners, Rasheed Ahmed is supervised by Barrett Porter, the firm's Chief of Advisors. Barrett Porter is responsible for ensuring that Rasheed Ahmed adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as policies and procedures outlined in the firm's Code of Ethic and compliance manual. Barrett's Porter's phone number is (310) 566-1888.

---

### **Kate Barron-Alicante**

Personal CRD # 7002732 (Investment Adviser Representative)

#### **Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
11/2017- Present	Abacus Wealth Partners (Associate Advisor)	Philadelphia, PA
03/2017 – 09/2017	Financial Planning Standards Board (Consultant)	Denver, CO
01/2012 – 10/2017	The University of Tulsa (Administrator)	Tulsa, OK
08/2011 – 08/2012	Tulsa Community College (Adjunct Faculty)	Tulsa, OK
10/2008 – 12/2011	Self-Employed (NGO Consultant)	New Orleans, LA and Annandale, NJ

#### **Education:**

University of London (SOAS), MsC, 2003

Boston University, BA, 2000

**Year of Birth:** 1978

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this

commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Advisor Law Examination (Series 65), 2018

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Kate Barron-Alicante has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Kate Barron-Alicante does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Kate Barron-Alicante is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Kate Barron-Alicante adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. Barrett Porter's phone number is (310) 566-1888.

---

**Emily Rose Benedetto**

Personal CRD # 6849355 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

Employment Dates	Employer Name	Employer Location
------------------	---------------	-------------------



08/2017-Present	Abacus Wealth Partners (Associate Advisor)	Santa Monica, CA
12/2015-08/2017	Pacific Ocean Pediatrics (Office Manager)	Santa Monica, CA
02/2014-12/2015	Sugar Paper (Wholesale Accounts Manager)	Los Angeles, CA
05/2012-02/2014	Seven Arrows Elementary School (Enrichment Coordinator)	Pacific Palisades, CA
09/2011-06/2013	Sunshine Volleyball Club (Volleyball Coach)	Pacific Palisades, CA
09/2011-05/2012	Candy Warehouse (Content Manager)	El Segundo, CA
06/2011-11/2011	Lighthouse Christian Academy (Volleyball Coach)	Santa Monica, CA
08/2007-05/2011	Wheaton College (Student)	Wheaton, IL

**Education:**

Wheaton College, BA, 2011

**Year of Birth:** 1989

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP

Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2017

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Emily Rose Benedetto has

no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Emily Rose Benedetto does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Emily Rose Benedetto is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Emily Rose Benedetto adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. Barrett Porter's phone number is (310) 566-1888.

---

## Elizabeth Metcalf Boehne

Personal CRD # 7779092 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
06/2023-Present	Abacus Wealth Partners (Advisor)	Santa Monica, CA
12/2021-05/2023	Woodward Financial Advisors (Associate Advisor)	Chapel Hill, NC
08/2017-11/2021	Presbyterian Campus Ministry (Executive Director)	Durham, NC
08/2016-08/2017	Greensboro Chamber of Commerce (Community Manager)	Greensboro, NC
08/2014-08/2016	First Presbyterian Church Greensboro (Pastoral Resident)	Greensboro, NC
08/2011-06/2014	Duke University (Student)	Durham, NC

**Education:**

Duke University, Master of Divinity, 2014

Wheaton College, BA, 2011

**Year of Birth:** 1988

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

None

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Elizabeth Metcalf Boehne has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Elizabeth Metcalf Boehne does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Elizabeth Metcalf Boehne is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Elizabeth Metcalf Boehne adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. Barrett Porter’s phone number is (310) 566-1888.

---

**Lauren Taylor Boyes**

Personal CRD # 6001568 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
07/2018-Present	Abacus Wealth Partners (Associate Advisor)	Sebastopol, CA
07/2016- 07/2018	Raymond James Financial Services, Inc. (Financial Advisor)	Colusa, CA
02/2015-05/2015	LPL Financial (Intern)	San Diego, CA
09/2013-01/2014	Coghlan Financial Group (Intern)	San Diego, CA
09/2013-05/2015	University of California, San Diego (Student)	San Diego, CA
12/2010-05/2015	Edward Jones Investments (On Call Branch Office Administrator)	Colusa, CA
09/2009-05/2011	Santa Barbara City College (Student)	Santa Barbara, CA

**Education:**

University of California San Diego, BA, 2015

**Year of Birth:** 1991

**Professional Designations:**

None

**Industry Examinations Passed:**

Uniform Combined State Law Examination (Series 66), 2016

Securities Industry Essentials Examination (SIE), 2018

General Securities Representative Examination (Series 7), 2016

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Lauren Taylor Boyes has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Lauren Taylor Boyes does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Lauren Taylor Boyes is supervised by Jessica Lu-Buetow, Chief Compliance Officer. Jessica Lu-Buetow is responsible for ensuring that Lauren Taylor Boyes adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. Jessica Lu-Buetow's phone number is (310) 566-1888.

---

**Gabriel ("Gabe") Stephen Brenner**

Personal CRD # 2675337 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
11/2014-Present	Abacus Wealth Partners (Partner, Advisor)	San Mateo, CA
10/2010-12/2014	Next Step Wealth, LLC (Advisor)	San Carlos, CA
06/2007-12/2010	Waypoint Wealth Partners (Advisor)	Newport Beach, CA
06/2004-12/2007	Brenner Financial (Sole Proprietor)	Burlingame, CA
12/2003-02/2004	Boone Financial Advisors Inc. (Intern)	San Francisco, CA
04/2002-12/2003	Cadence Design Systems (Account Manager)	San Jose, CA

04/2002-12/2003	Monterey Design System (Account Manager)	Sunnyvale, CA
01/2001-03/2002	E Letter (Business Development)	San Jose, CA
06/1999-11/2000	Cadence Design Systems (Account Manager)	San Francisco, CA
09/1995-07/1996	Painewebber Inc. (Investment Consultant)	San Francisco, CA

**Education:**

Drew University, BA, 1993

**Year of Birth:** 1970

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.



**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 1995

Uniform Securities Agent State Law Examination (Series 63), 1995

Uniform Investment Adviser Law Examination (Series 65), 2004

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Gabriel Stephen Brenner has no other business activities to report that represent a substantial amount of the supervised person’s time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Gabriel Stephen Brenner does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Gabriel Stephen Brenner is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Gabriel Stephen Brenner adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

### Rose Brink-Capriola

Personal CRD # 7332885 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
01/2021-Present	Abacus Wealth Partners (Associate Advisor)	Sebastopol, CA
08/2019-Present	Full-Time Student (Golden Gate MS in Financial Plan.)	San Francisco, CA
08/2020-11/2020	DaVero Farms and Winery (Harvest Assistant)	Healdsburg, CA
01/2019-12/2019	Formation Farm (Owner-Operator)	Sebastopol, CA
02/2017-05/2019	Singing Frogs Farm (Farm Manager)	Sebastopol, CA
01/2017-02/2017	Pyramid Farms (Farm Hand)	Chico, CA
11/2016-01/2017	Extended Travel / Unemployment	Chico, CA
04/2016-11/2016	Hawthorne Valley Association (Farm Apprentice)	Ghent, NY
07/2015-04/2016	Extended Travel / Volunteer & Unemployment	Hilo, HI., Chico, CA. USA
08/2014-07/2015	Orti Di MAre Agriturismo (Volunteer)	Lacona, Isola Di Elba, Italy.

03/2014-05/2014	Unemployment	Chico, CA
12/2013-03/2014	Kalapana Organics (Volunteer crew manager)	Hilo, HI.
03/2013-10/2013	Extended Travel / Unemployment	Extended Travel in USA
11/2012-03-2013	Kalapana Organics (Volunteer crew manager)	Hilo, HI.
06/2011-11/2012	Beneficial Biologics (Associate)	Arcata, CA
08/2007-12/2011	Full-Time Student (Humboldt State University)	Arcata, CA

**Education:**

Humboldt State University, Arcata, CA, BS, 2011

**Year of Birth:** 1989

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2021

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Rose Brink-Capriola has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

#### **Item 5: Additional Compensation**

Rose Brink-Capriola does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Rose Brink-Capriola is supervised by Barrett Porter, Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Rose Brink-Capriola adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Brian Edward Canning**

Personal CRD # 5602008 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
12/2011-Present	Abacus Wealth Partners (Advisor)	Santa Monica, CA
01/2011-12/2011	Fidelity Investments (Account Executive)	Woodland Hills, CA
09/2008-11/2008	TD Ameritrade (Investment Consultant)	Fort Worth, TX
08/2005-08/2008	University of North Texas (Student)	Denton, TX

**Education:**

California Lutheran University, MBA 2006

University of North Texas, MM, 2008

**Year of Birth:** 1984

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial

planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Industry Examinations Passed:**

Uniform Securities Agent State Law Examination (Series 63), 2009

General Securities Representative Examination (Series 7), 2009  
 Uniform Combined State Law Examination (Series 66), 2009

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are made to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Brian Edward Canning has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Brian Edward Canning does not receive any economic benefit from any person, company, organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Brian Edward Canning is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Brian Edward Canning adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Tiesha Cullins

Personal CRD # 7392452 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
06/2021 - Present	Abacus Wealth Partners (Financial Advisor)	Santa Monica, CA
06/2017 - 10/2020	American Financial Advisors (Client Service Representative)	Marietta, GA
06/2016 - 11/2017	UGA Hotel and Conference Center (Lead Banquet Server/Server)	Athens, GA

07/2014 - 11/2015	Party City (Front Office Supervisor)	Athens, GA
----------------------	--------------------------------------	------------

**Education:**

University of Georgia, Athens, BS, 2018

**Year of Birth:** 1995

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:



**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2021

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Tiesha Cullins has no other business activities to report that represent a substantial amount of the supervised person’s time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Tiesha Cullins does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Tiesha Cullins is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Tiesha Cullins adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Jana Elizabeth Davis**

Personal CRD # 4982445 (Investment Adviser Representative)

## Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
10/2015-Present	Abacus Wealth Partners (Advisor)	El Segundo, CA
07/2003-10/2015	ESF Financial Planning Group (Advisor)	Manhattan Beach, CA

### Education:

University of Missouri, BA, 1994

**Year of Birth:** 1972

### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations:**

Uniform Investment Adviser Law Examination (Series 65), 2005

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or a prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Jana Elizabeth Davis has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

#### **Item 5: Additional Compensation**

Jana Elizabeth Davis does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

#### **Item 6: Supervision**

As a representative of Abacus Wealth Partners, Jana Elizabeth Davis is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Jana Elizabeth Davis

adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Hannah Entenman

Personal CRD # 7392446 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
06/2021-Present	Abacus Wealth Partners (Financial Advisor)	Santa Monica, CA
08/2019-04/2021	WillKate Wealth Management (Client Service Advisor)	Sioux Falls, SD
03/2017-08/2019	Avera Health (Marketing Strategist)	Sioux Falls, SD
06/2013-03/2017	Fresh Produce, LLC (Marketing Project Manager)	Sioux Falls, SD
09/2009-05/2013	Augustana University (Student)	Sioux Falls, SD

#### Education:

Augustana University, Sioux Falls, BA, 2013

**Year of Birth:** 1991

#### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2021

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Hannah Entenman has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Hannah Entenman does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Hannah Entenman is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Hannah Entenman adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Elise Faur**

Personal CRD # 128590 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
07/2022-Present	Abacus Wealth Partners (Financial Advisor)	Sebastopol, CA
06/2016-01/2021	Obsidian Wine Company (Director of National and International Sales)	Sonoma, CA
06/2013-04/2016	Hall Family Wines (Director of National and International Sales)	Napa, CA

**Education:**

University of California, Los Angeles, BA, 1995

University of Hawaii, Manoa, Honolulu, HI 2001

University of California, Berkeley, Berkeley, Certificate for Financial Planning 2021

**Year of Birth:** 1970

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2022

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Elise Faur has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Elise Faur does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Elise Faur is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Elise Faur adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Christopher Habib**

Personal CRD # 6805793 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
07/2019-Present	Abacus Wealth Partners (Financial Advisor)	Santa Monica, CA
05/2019-07/2019	Sabbatical	Europe



08/2015-05/2019	Virginia Polytechnic Institute & State University (Student)	Blacksburg, VA
06/2015-08/2015	Smokehouse Live (Host)	Leesburg, VA
01/2011-06/2015	Heritage High School (Student)	Leesburg, VA

**Education:**

Virginia Polytechnic Institute & State University, B.S., 2019

**Year of Birth:** 1997

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of

Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2019

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Christopher Habib has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Christopher Habib does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Christopher Habib is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Christopher Habib adheres to all required regulations regarding the activities of an Investment Adviser

Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Neela Bushnell Hummel

Personal CRD # 5842369 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
02/2022 – Present	Abacus Wealth Partners (Partner, Advisor & Co-CEO)	Santa Monica, CA
09/2009-02/2022	Abacus Wealth Partners (Partner, Advisor)	Santa Monica, CA
02/2009-09/2009	Tapcode, Inc. (Content Manager)	Van Nuys, CA
04/2007-02/2009	UWink, Inc. (Content Manager)	Van Nuys, CA
08/2006-03/2007	Lion & Compass (Server)	Fair Oaks, CA

#### Education:

University of California, Berkeley, BA, 2006

**Year of Birth:** 1984

#### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2010

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Neela Bushnell Hummel has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

#### **Item 5: Additional Compensation**

Neela Bushnell Hummel does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

#### **Item 6: Supervision**

As a representative of Abacus Wealth Partners, Neela Bushnell Hummel is supervised by Jessica Lu-Buetow, the firm's Chief Compliance Officer. Jessica Lu-Buetow is responsible for ensuring that Neela Bushnell Hummel adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jessica Lu-Buetow is (310) 566-1888.

---

### **Brenton ("Brent") Warwick Kessel**

Personal CRD # 2826946 (Investment Adviser Representative)

#### **Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
02/2022 - Present	Abacus Wealth Partners (Advisor & Partner)	Santa Monica, CA
01/2004-02/2022	Abacus Wealth Partners (Co-CEO, Advisor, Partner)	Santa Monica, CA
06/1996-01/2004	Abacus Wealth Management (CEO, Advisor)	Santa Monica, CA

#### **Education:**

University of California, Los Angeles, BA, 1989

**Year of Birth:** 1968

#### **Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and

keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 1996

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Brenton Warwick Kessel has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Brenton Warwick Kessel does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners Brenton Warwick Kessel is supervised by Neela Hummel, Co-CEO. Neela Hummel is responsible for ensuring that Brenton Kessel adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Neela Hummel is (310) 566-1888.

---

**Lara Lamb**

Personal CRD # 4467601 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
08/2013-Present	Abacus Wealth Partners (Financial Planner)	Woodland Hills, CA
04/2009-08/2013	Lamb Financial (President/Principal)	Encino, CA
09/2001-05/2009	Gainsborough Financial Consultants, Inc.	Los Angeles, CA

	(Vice President)	
--	------------------	--

**Education:**

California State University, Northridge, BA, 2002

**Year of Birth:** 1976

**Professional Designations:**

Certified Financial Planner (CFP®)

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:



**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

None

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or a prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Lara Lamb has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Lara Lamb does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Lara Lamb is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Lara Lamb adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Jessica Lu-Buetow**

Personal CRD # 6146086 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
07/2012-Present	Abacus Wealth Partners (COO, CCO & Partner)	Santa Monica, CA
08/2008-07/2012	Farber Hass Hurley LLP (CPA)	Granada Hills, CA
01/2006-06/2008	Ernst and Young (Auditor)	Los Angeles, CA

**Education:**

University of California, Los Angeles, BA, 2005

**Year of Birth:** 1983

**Professional Designations:**

Certified Public Accountant (CPA)

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2013

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Jessica Lu-Buetow has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Jessica Lu-Buetow does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As the Chief Compliance Officer of Abacus Wealth Partners, Jessica Lu-Buetow supervises all activities of the firm. Jessica Lu-Buetow's contact information is on the cover page of

this disclosure document. Jessica Lu-Buetow adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm’s code of ethics and compliance manual.

As a representative of Abacus Wealth Partners, Jessica Lu-Buetow is supervised by Neela Hummel the firm’s Co-CEO. Neela Hummel is responsible for ensuring that Jessica Lu-Buetow adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Neela Hummel is (310) 566-1888.

---

### Kasey Michael McManis

Personal CRD # 6892306 (Investment Adviser Representative)

#### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
10/2019-Present	Abacus Wealth Partners (Advisor)	Philadelphia, PA
11/2017-10/2019	Mycio Wealth Partners (Advisor)	Philadelphia, PA

#### Education:

Montana State University, BS, 2014

**Year of Birth:** 1991

#### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Exams:**

Uniform Investment Adviser Law Examination (Series 65), 2018

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Kasey Michael McManis has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Kasey Michael McManis does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Kasey Michael McManis is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Kasey Michael McManis adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter phone number is (310) 566-1888.

---

## Susan Olson

Personal CRD # 6302750 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
02/2014-Present	Abacus Wealth Partners (Advisor)	Sebastopol, CA
02/2012-06/2015	Admin Service (School Finance, HR and Governance Specialist)	Phoenixville, PA
07/2005-6/2013	Sebastopol Independent Charter School (Executive Director)	Sebastopol, CA
09/1997-06/2001	Pasadena Waldorf School (Director)	Pasadena, CA

### Education:

Carleton College, BA, 1986

University of California, Los Angeles, MA, 1994

Claremont Graduate University, MS, 2002

\*Susan Olson received a certificate from the University of California, Los Angeles for Personal Financial Planning, 2015

**Year of Birth:** 1964

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore,

act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2014

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Susan Olson has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Susan Olson does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Susan Olson is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring Susan Olson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number Barrett Porter is (310) 566-1888.

---

**Kathryn Peyton**

Personal CRD #: 6708536 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**



<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
08/2016-Present	Abacus Wealth Partners (Associate Advisor)	Sebastopol, CA
07/2016-08/2016	Self-employed (Teacher)	Penngrove, CA
01/2015-06/2016	Sonoma Academy (Teacher)	Sebastopol, CA
06/2014-01/2015	Unemployed	Penngrove, CA
01/2013-05/2014	The HR Manager, LLC (Consultant)	San Rafael, CA
07/2012-12/2012	Unemployed	Penngrove, CA
08/2004-06/2012	Fairfax County Public Schools (Teacher)	Falls Church, VA

**Education:**

Duke University, BA, 1982

University of California, Berkeley, MBA, 1988

**Year of Birth:** 1960

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2016

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Kathryn Peyton has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

#### **Item 5: Additional Compensation**

Kathryn Peyton does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Kathryn Peyton is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Kathryn Peyton adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as policies and procedures outlined in the firm's Code of Ethic and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

### Jason Pollens

Personal CRD # 7477243 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
11/2021-Present	Abacus Wealth Partners (Financial Advisor)	Sebastopol, CA
01/2015-10/2021	Jewish Vocational Service (Manager of Economic Opportunity)	Boston, MA
02/2014-07/2015	Roxbury Center for Financial Empowerment (Volunteer Financial Counselor and Tax Preparer)	Boston, MA
05/2014-01/2015	Bethany Health Care Center (Mission Advancement Assistant)	Framingham, MA
10/2013-01/2014	Unemployed, n/a	Boston, MA
11/2012-09/2013	Northeastern University (Program Manager for Education and Dialogue)	Boston, MA
07/2012-12/2012	Cooperative Metropolitan Ministries (Program Manager and Counselor)	Newton, MA
09/2010-05/2012	Brandeis University (Student)	Waltham, MA

**Education:**

Brandeis University, MA, 2012

Clark University, BA, 2006

**Year of Birth:** 1983**Professional Designations:**

Certified Student Loan Professional (CSLP®)

Certified Financial Planner (CFP®)

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

None

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Jason Pollens has no other business activities to report that represent a substantial amount of the supervised person’s time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Jason Pollens does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Jason Pollens is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Jason Pollens adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Barrett Sean Porter**

Personal CRD # 4257687 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
02/2022 - Present	Abacus Wealth Partners (Chief of Advisors, Partner & Advisor )	Santa Monica, CA
12/2009-02/2022	Abacus Wealth Partners (Partner, Advisor)	Santa Monica, CA
11/2006-12/2009	Abacus (Formerly Kubera) Portfolios, LLC (Advisor)	Santa Monica, CA
10/2005-11/2006	Summit Portfolio Management (Advisor)	Los Angeles, CA
01/2005-10/2005	Broadwaters Financial (Advisor)	Los Angeles, CA

**Year of Birth:** 1974

**Education:**

America River College, 1993-1994

San Diego Community College, 1995-1998

San Diego State University, 1992

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development

capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 2000

General Securities Principal Examination (Series 24), 2000

Uniform Securities Agent State Law Examination (Series 63), 2000

Uniform Combined State Law Examination (Series 66), 2001

Uniform Investment Adviser Law Examination (Series 65), 2013

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to client’s or a prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Barrett Sean Porter has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Barrett Sean Porter does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Barrett Sean Porter is supervised Jessica Lu-Buetow, Chief Compliance Officer. Jessica Lu-Buetow is responsible for ensuring that Barrett Sean Porter adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethic and compliance manual. The Phone number for Jessica Lu-Buetow is (310) 566-1888.

---

## Lindsey Shea Woodward Rafkind

Personal CRD # 5946324 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
07/2017-Present	Abacus Wealth Partners (Advisor)	Santa Monica, CA
01/2016-07/2017	Dimensional Fund Advisors (Associate)	Santa Monica, CA
11/2011-01/2016	Thornburg Investment Management (Institutional Associate)	Santa Fe, NM
08/2010-12/2012	University of New Mexico (Student)	Albuquerque, NM
06/2011-11/2011	Morgan Stanley Smith Barney (Internship)	Albuquerque, NM
08/2010-12/2011	Western Commerce Bank (Teller)	Albuquerque, NM



08/2007-05/2010	University of Arizona (Student)	Tucson, AZ
-----------------	------------------------------------	------------

**Education:**

University of Arizona, BA, 2010

University of New Mexico, MBA, 2012

**Year of Birth:** 1989

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 2014

Uniform Combined State Law Examination (Series 66), 2014

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Lindsey Shea Woodward Rafkind has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Lindsey Shea Woodward Rafkind does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Lindsey Shea Woodward Rafkind is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Lindsey Shea Woodward Rafkind adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures

outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Matthew John Rivera

Personal CRD # 5671220 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
03/2017-Present	Abacus Wealth Partners (Associate Advisor)	San Mateo, CA
12/2016-03/2017	Full-Time Dad	San Carlos, CA
04/2012-12/2016	RS Investments (Head of Client Service)	San Francisco, CA
09/2007-04/2012	BlackRock (Account Manager)	San Francisco, CA

#### Education:

University of California, Davis, BS, 2006

**Year of Birth:** 1984

#### Professional Designations:

Chartered Financial Analyst (CFA)

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of

financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 2009

Uniform Securities Agent State Law Examination (Series 63), 2009

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Matthew John Rivera has

no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Matthew John Rivera does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Matthew John Rivera is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Matthew John Rivera adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Spencer David Sherman**

Personal CRD # 1609423 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
02/2022 – Present	Abacus Wealth Partners (Partner & Advisor)	Sebastopol, CA
01/2004– 02/2022	Abacus Wealth Partners (Co-CEO, Advisor)	Sebastopol, CA
07/1987-12/2003	Sherman Financial, Inc. (Principal, Advisor)	Philadelphia, PA

**Education:**

Brandeis University, BA, 1983

University of Pennsylvania, MBA, 1987

**Year of Birth:** 1961

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use

these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Investment Company and Variable Contracts Products Representative Examination (Series 6), 1987

Uniform Securities Agent State Law Examination (Series 63), 1987

General Securities Representative Examination (Series 7), 1987

Uniform Investment Adviser Law Examination (Series 65), 1989

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or a prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Spencer David Sherman has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Spencer David Sherman does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Spencer David Sherman is supervised by Neela Hummel, the firm's Co-CEO. Neela Hummel is responsible for ensuring that Spencer David Sherman adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Neela Hummel is (310) 566-1888.

---

**Daniel Raymond Smith**

Personal CRD # 6961363 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
07/2022-Present	Abacus Wealth Partners	Santa Monica, CA
06/2019-07/2022	Vanguard	Charlotte, NC
05/2018-08/2018	Vanguard	Charlotte, NC

**Education:**

Virginia Tech; Blacksburg, VA; B.S., 2019

**Year of Birth:** 1997

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and



financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Securities Industry Essentials (SIE), 2019

NASAA Uniform Combined State Law Examination (Series 66), 2019

General Securities Representative Exam (Series 7), 2019

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Daniel Raymond Smith has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Daniel Raymond Smith does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Daniel Raymond Smith is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Daniel Raymond Smith adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Mary Elizabeth Storjohann**

Personal CRD # 4798603 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
02/2022 - Present	Abacus Wealth Partners, (Advisor, Partner & Co-CEO)	San Diego, CA
03/2019-02/2022 2022	Abacus Wealth Partners (CMO, Advisor, Partner)	San Diego, CA
2013-2019	Workable Wealth (CEO, Advisor)	San Diego, CA
2011-2013	HoyleCohen Wealth Management (Senior Financial Planner)	San Diego, CA
2010-2010	Morgan Stanley (Financial Associate)	San Diego, CA
2007-2010	Osher Van de Voorde Investment Management (Financial Associate)	Pasadena, CA

**Education:**

San Diego State University, BS, 2006

**Year of Birth:** 1984

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development

capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 2006, 2010

Uniform Combined State Law Exam (Series 66), 2006, 2010

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or a prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Mary Elizabeth Storjohann has no other business activities to report that represent a substantial amount of the

supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Mary Elizabeth Storjohann does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Mary Elizabeth Storjohann is supervised by Jessica Lu-Buetow, the firm's Chief Compliance Officer. Jessica Lu-Buetow, is responsible for ensuring that Mary Elizabeth Storjohann adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jessica Lu-Buetow is (310) 566-1888.

---

## Kate Sumner

Personal CRD #: 7392450 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
06/2021-Present	Abacus Wealth Partners	Santa Monica, CA
02/2018-08/2020	Private Family	Durham, NC
10/2017-11/2017	The Spotted Dog	Carrboro, NC
02/2017-09/2017	Girls Rock NC	Durham, NC
9/2014-12/2016	Smith College	Northampton, MA
08/2010-05/2014	Smith College (student)	Northampton, MA

### Education:

Smith College, A.B., 2014

Wake Forest University, Certificate in Financial Planning, 2021

**Year of Birth:** 1992

### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use

these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2021

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actually engaged. Kate Sumner has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Kate Sumner does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Kate Sumner is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Kate Sumner adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Daria Victorov**

Personal CRD #: 6199640 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
08/2016-Present	Abacus Wealth Partners (Associate Advisor)	San Mateo, CA
08/2015-08/2016	BlueSky Wealth Advisors, LLC (Wealth Advisor)	Santa Clara, CA
06/2014-08/2015	LifeStream Financial, Inc (Associate Financial Planner)	Santa Clara, CA

05/2013-08/2013	Wells Fargo Advisors, LLC (Intern)	Reston, VA
07/2008-06/2014	Starbucks (Barista)	Blacksburg, VA
9/2006-06/2010	Student	Reston, VA

**Education:**

Virginia Tech, BS, 2014

**Year of Birth:** 1992

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of

Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

None

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Daria Victorov has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Daria Victorov does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Daria Victorov is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Daria Victorov adheres to all required regulations regarding the activities of an Investment Adviser



Representative, as well as policies and procedures outlined in the firm’s Code of Ethic and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Christopher Vallejo

Personal CRD # 7332881 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
01/2021 - Present	Abacus Wealth Partners (Associate Advisor)	Santa Monica, CA
11/2018 – 01/2021	Regal Wine Company (Sales Representative)	Los Angeles, CA
08/2018 – 11/2018	Unemployed	Los Angeles, CA
06/2018 – 08/2018	Finish Line (Stock Associate)	Omaha, NE
11/2016 – 05/2018	Wow Me! Events, LLC (Music Director)	Dallas, TX
07/2015 – 05/2018	Zhen Music and Arts Institute (Guitar Instructor)	Dallas, TX
03/2014 – 05/2017	First Baptist Church of Dallas (Music Director)	Dallas, TX
08/2010 – 05/2014	University of North Texas (Full-Time Student)	Denton, TX

#### Education:

University of North Texas, BM, 2014

#### Year of Birth: 1992

#### Professional Designations:

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial

planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2021

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

### Item 4: Other Business Activities

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Christopher Vallejo has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

### Item 5: Additional Compensation

Christopher Vallejo does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

### Item 6: Supervision

As a representative of Abacus Wealth Partners, Christopher Vallejo is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that is responsible for ensuring that Christopher Vallejo adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

## Elizabeth Ariel Ward

Personal CRD #: 5401219 (Investment Adviser Representative)

### Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
03/2019-Present	Abacus Wealth Partners (Lead Advisor)	San Diego, CA
04/2018-02/2019	Workable Wealth (Financial Planner)	San Diego, CA
01/2018-04/2018	A. Ward Financial (Owner, COO)	Charlotte, NC
07/2012-01/2018	FIRST Financial Group (Director of Operations)	Raleigh, NC

07/2007-06/2012	FIRST Financial Group (Paraplanner)	Raleigh, NC
-----------------	--	-------------

**Education:**

Lyon College, BS, 2005

**Year of Birth:** 1982

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

General Securities Representative Examination (Series 7), 2008

Uniform Securities Combined State Law Examination (Series 66), 2008

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Elizabeth Ariel Ward has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Elizabeth Ariel Ward does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Elizabeth Ariel Ward is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Elizabeth Ariel Ward adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number Barrett Porter is (310) 566-1888.

---

**Kyle Andrew Williams**

Personal CRD # 2810071 (Investment Adviser Representative)

## Item 2: Educational Background and Business Experience

Employment Dates	Employer Name	Employer Location
09/2013-Present	Abacus Wealth Partners (Advisor)	Sebastopol, CA
04/2013-05/2013	King & Associates Inc. (Accounting Manager)	Walnut Creek, CA
11/2012-08/2013	Kyle Williams, CPA (Principal)	Fairfax, CA
08/2011-11/2012	Unemployed	San Rafael, CA
06/2010-07/2011	QB or not QB (Principal)	Mill Valley, CA
01/2010-04/2010	Visa Inc. (Senior Financial Analyst)	San Francisco, CA
05/2009-10/2009	Harbin Hot Springs (Accountant)	Middletown, CA
08/2004-02/2009	Autodesk (Senior Project Accountant)	San Rafael, CA

### Education:

Westmont College, BA, 1998

**Year of Birth:** 1975

### Professional Designations:

Certified Public Accountant (CPA)

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examination Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2012

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or a prospective client’s evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Kyle Andrew Williams has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Kyle Andrew Williams does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Kyle Andrew Williams is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Kyle Andrew Williams adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Barbara Wolf**

Personal CRD # 4602093 (Investment Representative Adviser)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
12/2009-Present	Abacus Wealth Partners (Advisor)	Philadelphia, PA
08/2006-12/2009	Abacus (Formerly Kubera) Portfolios, LLC (Advisor)	Philadelphia, PA
02/2006-08/2006	Unemployed	Philadelphia, PA
01/2006-02/2006	Raymond James & Associates, Inc. (Financial Advisor)	Bala Cynwyd, PA
11/2000-12/2005	J. Cole Financial Advisers, Inc. (Advisor)	Philadelphia, NY

**Education:**

Smith College, BA, 1979



New York University, MBA, 1985

**Year of Birth:** 1957

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and

financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

**Industry Examinations Passed:**

Uniform Investment Adviser Law Examination (Series 65), 2001

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or a prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Barbara Wolf has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Barbara Wolf does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Barbara Wolf is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Barbara Wolf adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Jacqueline Worstell**

Personal CRD # 7670057 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

Employment Dates	Employer Name	Employer Location
------------------	---------------	-------------------

11/2022-Present	Abacus Wealth Partners (Advisor)	Santa Monica, CA
01/2018-10/2022	Cheryl R Worstell, CPA (Tax Accountant)	Cupertino, CA
06/2022-08/2022	Abacus Wealth Partners (Intern)	Santa Monica, CA
01/2016-11/2017	G & J Seiberlich & Co, LLP (Staff Accountant)	Napa, CA
09/2013-06/2016	Pacific Union College (Student)	Angwin, CA

**Education:**

Pacific Union College, Angwin, CA, BBA, 2016

**Year of Birth:** 1992

**Professional Designations:**

Certified Financial Planner (CFP®) professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

**Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

**Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

**Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

**Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

**Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

**Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

#### **Industry Examinations Passed:**

Uniform Investment Advisor Law Examination (Series 65), 2023

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Jacqueline Worstell is also a tax and accounting professional. Implementations of tax and accounting recommendations are separate and apart from Jacqueline Worstell’s role with Abacus Wealth Partners. As a tax and accounting professional, Jacqueline Worstell will receive customary pay from the CPA company. Jacqueline Worstell is not required to offer the services of any particular CPA company. Compensation generated by tax and accounting sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain services of the CPA company. Clients are under no obligation to implement any recommendations made by Jacqueline Worstell.

**Item 5: Additional Compensation**

Jacqueline Worstell does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Jacqueline Worstell is supervised by Barrett Porter, Chief of Advisors. Barrett Porter is responsible for ensuring that Jacqueline Worstell adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Barrett Porter is (310) 566-1888.

---

**Walter Yu**

Personal CRD # 5596790 (Investment Adviser Representative)

**Item 2: Educational Background and Business Experience**

<b>Employment Dates</b>	<b>Employer Name</b>	<b>Employer Location</b>
3/2022 – Present	Abacus Wealth Partners (Director of Portfolio Management)	Santa Monica, CA
05/2014-3/2022	Abacus Wealth Partners (Trader)	Santa Monica, CA
09/2012-04/2014	Unemployed	Los Angeles, CA
09/2010-08/2012	T3 Trading Group, LLC (Equity Trader)	Los Angeles, CA
09/2008-09/2010	Chimera Securities (Equity Trader)	Los Angeles, CA
06/2008-09/2008	Unemployed	Los Angeles, CA
03/2008-06/2008	Account Temps (Accountant)	Los Angeles, CA
06/2007-03/2008	Unemployed	Los Angeles, CA
09/2004-06/2007	University California, Los Angeles, CSO Programs (Student)	Los Angeles, CA

**Education:**

University of California, Los Angeles, BA, 2007

**Year of Birth:** 1986

**Professional Designations:**

None

**Industry Examinations:**

Proprietary Trader Examination (Series 56), 2011

Uniform Investment Adviser Law Examination (Series 65), 2015

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Registered investment advisers are required to disclose any other business activity or occupation in which the supervised person is actively engaged. Walter Yu has no other business activities to report that represent a substantial amount of the supervised person's time and income where it would potentially create a conflict of interest to clients.

**Item 5: Additional Compensation**

Walter Yu does not receive any economic benefit from any person, company, or organization, other than Abacus Wealth Partners in exchange for providing clients advisory services through Abacus Wealth Partners.

**Item 6: Supervision**

As a representative of Abacus Wealth Partners, Walter Yu is supervised by Jessica Lu-Buetow, the firm's Chief Compliance Officer. Jessica Lu-Buetow is responsible for ensuring that Walter Yu adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jessica Lu-Buetow is (310) 566-1888.